

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,417	12/29/2000	Jeffrey Jay Anderson	2069.008300

CONFIRMATION NO. 7838

FORMALITIES LETTER



\*OC000000005776367\*

Terry D. Morgan  
WILLIAMS, MORGAN & AMERSON, P.C.  
7676 Hillmont, Suite 250  
Houston, TX 77040

Date Mailed: 07/26/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

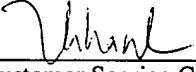
**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$232.
  - \$72 for 4 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1072.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/27/2001 HUONG1 00000056 501591 09751417

01 FC:101	710.00 CH
12 FC:103	72.00 CH
3 FC:102	160.00 CH
1 FC:105	130.00 CH



# WILLIAMS, MORGAN & AMERSON, P.C.

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Mark D. Moore, Ph.D.\*  
Louis H. Iselin, Ph.D.\*  
Raymund F. Eich, Ph.D.\*  
Bradley A. Misley\*  
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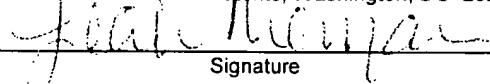
\*Patent Agent

Writer's Direct Dial  
(713) 934-4050

File: 2069.008300

December 29, 2000

**BOX PATENT APPLICATION**  
Assistant Commissioner for Patents  
Washington, DC 20231

EXPRESS MAIL RECEIPT	
NUMBER: EL 656 272 315 US	
DATE OF DEPOSIT: DECEMBER 29, 2000	
I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231.	
 Signature	

RE: U.S. Patent Application Entitled: *METHOD AND APPARATUS FOR HANDLING VOICE AND DATA SIGNALS – Jeffrey Jay Anderson, Walter S. Schopfer and Merle L. Miller (2069.008300/TT3773)*

Sir:

Transmitted herewith for filing are:

- (1) 29-page patent specification with 24 claims and an abstract (also Figures 1-5 on 5 sheets);
- (2) Declaration;
- (3) Assignment and Assignment Recordation Cover Sheet; and
- (4) Power of Attorney.

All correspondence, notices, official letters and other communications should be directed to Terry D. Morgan, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, and all telephone calls should be directed to Terry D. Morgan at (713) 934-4050.

- The Assistant Commissioner is authorized to deduct the amount of the total filing fee (listed below) from Legerity, Inc. Deposit Account No. 50-1591/TT3773.

WILLIAMS, MORGAN & AMERSON, P.C.

Assistant Commissioner for Patents

December 29, 2000

Page 2

FILING FEE CALCULATION

FOR		Small Entity	Large Entity
Total Claims	24 - 20 = 4	x \$9 = \$	or x \$18 = \$ 72.00
Independent Claims	5 - 3 = 2	x \$40 = \$	or x \$80 = \$ 160.00
Multiple Dependent Claim(s)		+ \$135 = \$	or + \$270 = \$ 0.00
Basic Fee:		+ \$355 = \$	or + \$710 = \$ 710.00
Assignment Recording Fee:	(\$40 per assignee)	+ = \$	+ = \$ 40.00
<b>TOTAL FILING FEES</b>		<b>\$ 0.00</b>	<b>\$ 982.00</b>

Pursuant to 37 C.F.R. § 1.10 the Applicants request the Patent and Trademark Office to accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail.

Please date stamp and return the enclosed postcards to evidence receipt of these materials.

Respectfully submitted,

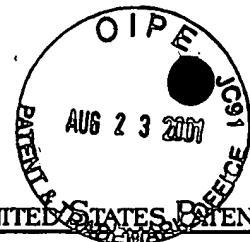
  
\_\_\_\_\_  
Terry D. Morgan  
Reg. No. 31,181  
WILLIAMS, MORGAN & AMERSON, P.C.  
7676 Hillmont, Suite 250  
Houston, TX 77040  
(713) 934-4060

Attorneys for Applicants

TDM/mp

Enclosures

cc: Ms. Laura K. Fenton (w/enc.)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

Page 1 of 1  
*1 DM*

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,417	12/29/2000	Jeffrey Jay Anderson	2069.008300

DOCKETED  UPDATED   
Previously \_\_\_\_\_ Not Required \_\_\_\_\_  
Appl. Info \_\_\_\_\_  
Reg/Grant Info \_\_\_\_\_  
Action Required: Missing  
1 Crit  
Date Due/Done: 9-26-01  
By: \_\_\_\_\_ Checked J

CONFIRMATION NO. 7838

FORMALITIES LETTER



\*OC000000005776367\*

Date Mailed: 07/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

AUG 02 2001

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
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- o The balance due by applicant is \$ 1072.

*A copy of this notice MUST be returned with the reply.*

*Mhaw*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

JC951 U.S. PTO  
09/751417



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Please indicate receipt of the below-identified paper:

<input checked="" type="checkbox"/> New Application For:	PATENT	Priority Date:	12/29/00
<input type="checkbox"/> Foreign priority already claimed			
<input type="checkbox"/> Continuation			
<input type="checkbox"/> Specification:	29 Pages	<input type="checkbox"/> CIP	<input type="checkbox"/> Divisional
<input type="checkbox"/> Response to Office Action Dated:			
<input checked="" type="checkbox"/> Other: TRANSMITTAL LETTER; DECLARATION; POWER OF ATTORNEY; ASSIGNMENT AND ASSIGNMENT RECORDATION COVER SHEET			
<input checked="" type="checkbox"/> Assignment Enclosed			
<input type="checkbox"/> Cert. of Timely Mailing	<input checked="" type="checkbox"/> Exp. Mail: EL656272315US	<input type="checkbox"/> Final Rejection	

IDENTIFICATION OF APPLICATION

Serial No.:

Title: METHOD AND APPARATUS FOR HANDLING VOICE AND DATA SIGNALS

Applicant: Jeffrey Jay Anderson, Walter S. Schopfer and Merle L. Miller	Attorney: TDM
Client: LEGERITY, INC.	No.: 2069.008300/TT3773
Mailed: DEC. 29, 2000	Filed: Date:





#3 SECTOR  
✓ \$ #3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
ANDERSON et al.

Serial No.: 09/751,417

Filed: December 29, 2000

For: METHOD AND APPARATUS FOR  
HANDLING VOICE AND DATA  
SIGNALS

Group Art Unit: 2645

Examiner: Unknown

Atty. Dkt. No.: 2069.008300/TT3773

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**BOX MISSING PART**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:	
August 21, 2001 _____ Date	 _____ Signature

In response to the Notice to File Missing Parts of Application – Filing Date Granted,  
dated July 26, 2001, there are enclosed herewith:

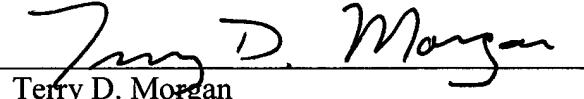
- (a) Copy of transmittal letter to PTO dated June 11, 2001, authorizing the PTO to charge **Legerity's Deposit Account No. 50-1591/TT3773** for the basic filing fee of \$710.00, the fee for additional claims over 20 of \$72.00, and the fee for additional independent claims over 3 of \$160.00, as well as the Assignment Recordation fee of \$40.00; and
- (b) A copy of Notice to File Missing Parts of Application – Filing Date Granted.

Because the errors are not due to any error by Applicants, no fee is believed to be due in connection with the filing of this document. However, if any fees under 37 C.F.R. §§ 1.16 to 1.21 are required for any reason relating to the enclosed materials, the Assistant Commissioner is authorized to deduct or credit said fees from or to **Legerity's Deposit Account No. 50-1591/TT3773.**

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

Date: August 21, 2001

  
\_\_\_\_\_  
Terry D. Morgan  
Reg. No. 31,181  
WILLIAMS, MORGAN & AMERSON  
7676 Hillmont, Suite 250  
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(713) 934-4050  
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ATTORNEY FOR APPLICANTS